A Model Investigation of Aerosol-induced Changes in Tropical

Circulation

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ABSTRACT

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We study how anthropogenic aerosols, alone or in conjunction with radiatively active gases, affect the tropical circulation with an atmosphere/mixed layer ocean general circulation model. Aerosol-induced cooling gives rise to a substantial increase in the overall strength of the tropical circulation, a robust outcome consistent with a thermodynamical scaling argument. Owing to the interhemispheric asymmetry in aerosol forcing, the zonal-mean and zonally asymmetrical components of the tropical circulation respond differently. The Hadley circulation weakens in the Northern Hemisphere, but strengthens in the Southern 11 Hemisphere. The resulting northward cross-equatorial moist static energy flux compensates 12 partly for the aerosol radiative cooling in the Northern Hemisphere. In contrast, the less 13 restricted zonally asymmetrical circulation does not show sensitivity to the spatial structure of aerosols, and strengthens in both hemispheres. Our results also point to the possible role 15 of aerosols in driving the observed reduction in the equatorial sea level pressure gradient. 16 These circulation changes have profound implications for the hydrological cycle. We 17 find that aerosols alone make the subtropical dry zones in both hemispheres wetter, as the local hydrological response is controlled thermodynamically by atmospheric moisture The deep tropical rainfall undergoes a dynamically induced southward shift, a 20 robust pattern consistent with the adjustments in the zonal-mean circulation and in the meridional moist static energy transport. Less certain is the magnitude of the shift. The nonlinearity exhibited by the combined hydrological response to aerosols and radiatively active gases is dynamical in nature.

$_{25}$ 1. Introduction

Although much remains to be done to gain a more definitive understanding of the climate 26 effects of aerosols (radiative and microphysical alike) (e.g., Forster et al. 2007), it has been widely accepted that aerosol cooling "masked", on the global scale, a considerable fraction of 28 greenhouse gas warming since the preindustrial times (e.g., Hegerl et al. 2007). Unlike wellmixed greenhouse gases, the spatial distributions of aerosols are highly non-uniform owing to inhomogeneous emission sources and short lifetimes (on the order of days). This basic 31 recognition leads one to speculate that aerosols may be more capable of altering atmospheric 32 and oceanic circulation, especially on the regional scale, than greenhouse gases. Despite 33 a few early attempts (e.g., Ramaswamy and Chen 1997; Rotstayn and Lohmann 2002), 34 aerosol impacts on the general circulation and hydrological cycle have not been studied in 35 a systematic manner. This poses an acute need for research as the community strives to 36 understand regional climate change for policy purposes. 37 When discussing how an external forcing, which is small relative to insolation, alters 38 regional climate, one can argue that the response is more likely to be a deviation from the initial state within the same climate regime, as opposed to a complete shift from one climate

regional climate, one can argue that the response is more likely to be a deviation from the initial state within the same climate regime, as opposed to a complete shift from one climate regime to another. It is also conceivable that the response to the same forcing may vary with underlying climate regime. For example, as a result of the smallness of the Coriolis parameter, the tropics can efficiently remove strong horizontal temperature gradients through internal gravity waves (Sobel et al. 2001). Thus, the thermal influence of a regional forcing may be spread throughout the tropics. The opposite is true in the extratropics, where the influence is more likely to be kept local by adjusting zonal winds. This reasoning motivates

us to investigate, in a series of three papers, how aerosols affect the circulation patterns in
different climate zones. This paper focuses on the tropical circulation, while the other two
are devoted to the monsoon and boreal winter extratropical circulations, respectively (Ming
et al. 2011a,b).

Theories and general circulation model (GCM) simulations were key to developing fundamental insights into how the circulation and precipitation would vary in response to global warming (e.g., Held and Soden 2006, referred to as HS06). At the heart of HS06 is a thermodynamical scaling argument based on the mass balance of water in the free troposphere, which dictates

$$\delta M_c/M_c = \delta P/P - \alpha \delta T,\tag{1}$$

where M_c is the convective mass flux out of the boundary layer, P is precipitation, and T is surface temperature, all in global-mean. α is constant at 0.07 K⁻¹ according to the Clausius-Clapeyron scaling of the saturated water vapor pressure. Because P is constrained by the approximate balance between atmospheric radiative cooling and convective heating to increase by 1 - 3% for one degree of surface warming (Allen and Ingram 2002; Stephens and Ellis 2008), M_c has to decrease by 4 - 6%. This reduction in convective mass is manifested as a weakening of the tropical circulation in GCM (HS06; Vecchi and Soden 2007). It is worth noting that an observationally-based study by Liu et al. (2009) suggested that the global average precipitation increased with temperature at a rate far greater than projected by GCM. If confirmed, this finding would point toward serious deficiencies in model formulations.

One immediate question is to what extent this thermodynamical scaling argument is applicable to aerosol-induced changes in the tropical-mean circulation and in its zonalmean (Hadley) and zonally asymmetrical components. We seek the answers using a set of atmosphere/mixed-layer ocean GCM simulations of the equilibrium climate response to aerosols. We also examine the role of aerosols, if any, in affecting the spatial pattern of the tropical sea level pressure (SLP). HS06 showed that the regional precipitation change caused by global warming is governed mainly by the Clausius-Clapeyron scaling of atmospheric moisture content. We investigate whether this is still the case in the event of substantial modification of flow by non-uniform aerosols, and further discuss the underlying mechanism of the nonlinearity in the combined hydrological response to aerosols and greenhouse gases (Ming and Ramaswamy 2009, referred to as MR09).

77 2. Tropical-mean Circulation

The atmospheric component of the coupled GCM is a modified version of the Geophysical Fluid Dynamics Laboratory (GFDL) AM2.1 atmosphere GCM (The GFDL Global Atmospheric Model Development Team 2004), which implements a prognostic scheme of cloud droplet number concentration for taking into account aerosol indirect effects (Ming et al. 2007). The initial droplet number concentration in a newly formed cloud is linked to aerosol chemical composition, size distribution and mass concentration using a first principles-based parameterization of droplet activation (Ming et al. 2006). This applies both to large-scale clouds and to convective clouds. Three aerosol types, namely sulfate, organic carbon (OC) and sea salt, act as cloud condensation nuclei (CCN). All the prognostic cloud variables, including droplet number concentration, are transported and removed by the same dynamical, physical and microphysical processes.

The model uses as inputs the offline atmospheric aerosol burdens of sulfate, black carbon 89 (BC), OC, dust and sea salt, all of which except that of sea salt are simulated using a chemical transport model driven by GCM-generated meteorological fields (Horowitz 2006). 91 The fossil fuel emissions are based on EDGAR v2.0 (Olivier et al. 1996), with the exceptions of those of BC and OC, which follow Cooke et al. (1999). The tropical and extratropical biomass burning emissions are from Hao and Liu (1994) and Müller (1992), respectively, with the emission factors as specified in Andreae and Merlet (2001). The concentration of sea salt is computed from satellite-retrieved surface wind speed, and is assumed to be constant throughout the marine boundary layer (Haywood et al. 1999). As a result of relatively short lifetimes, the atmospheric concentrations of anthropogenic aerosols are highest over the Northern Hemisphere (NH) mid-latitude industrial regions (i.e., East Asia, North America and Europe) and over the tropical biomass burning regions (most notably Central Africa 100 and South America), and decrease gradually as one moves away from the sources (see Figs. 101 4 and 5 of Horowitz (2006)). The simulated aerosol concentrations and optical depth were 102 found to be in reasonably good agreement with field and satellite measurements (Ginoux 103 et al. 2006). 104

The preindustrial control case (CONT) is run to equilibrium before being perturbed by present-day aerosols (AERO), by present-day radiatively active gases (greenhouse gases and ozone) (GAS), and by present-day aerosols and gases simultaneously (BOTH). Each case is integrated for 100 model years; the last 80 years are used for computing annual-mean changes and associated statistical significance based on the Student's t-test. The aerosol direct and indirect effects, evaluated as radiative flux perturbation (i.e., the change in the TOA radiative flux after atmospheric adjustment) (Hansen et al. 2005; Haywood et al. 2009),

amount to a global-mean of -2.1 W m⁻². This includes a direct effect of -0.6 W m⁻² and an indirect effect of -1.7 W m⁻². It is clear that the overall aerosol cooling results mainly from the indirect effects. Like the atmospheric burdens of anthropogenic aerosols, their radiative effects are located predominantly over the NH source regions. The reader is referred to MR09 for a more detailed description of the model configuration and design of the experiments.

Table 1 lists the tropical-mean changes in T, P and M_c due to different perturbations.

Note that the tropics is defined as 30°S - 30°N in this study. It is reassuring to see that the

118 model-simulated P increases with T at a rate of 2.2% K^{-1} in GAS (termed as unadjusted hydrological sensitivity in this study). This is consistent with other models, and is presumed to be dictated by the need to balance radiative cooling with convective heating (Allen and 121 Ingram 2002). M_c lowers by 4.9% K⁻¹, satisfying the thermodynamical scaling (Eq. 1). As 122 explained in HS06, if the tropical-mean circulation is conceptualized as convective ascent be-123 ing balanced by radiatively driven subsidence, a redistribution of M_c alone (without changing 124 its mean) can alter the circulation. In this sense, the spatial variance of M_c ($var(M_c)$) is a 125 more reliable measure of the strength of the convective branch of the overall circulation. In 126 response to radiatively active gases, $var(M_c)$ decreases by 8.8% K⁻¹, which is close to being 127 twice of the rate of M_c (Table 1). This suggests that the fractional change in M_c is rather 128 uniform across the tropics. 129

Aerosols lead to a surface cooling of 1.5 K along with a reduction in P of 5.7%. The normalized rate of 3.8% K⁻¹ is considerably higher than that for greenhouse gases (2.2% K⁻¹), seemingly suggesting that the hydrological sensitivity differs between two types of forcing. As explained in Ming et al. (2010) and Andrews et al. (2010), the total δP can be separated approximately into two components. The fast atmosphere-only component results

from the change in atmospheric radiative absorption (in shortwave for absorbing aerosols and in longwave for greenhouse gases) caused directly by a forcing agent, and thus does 136 not scale with δT . The other component, which is manifested much more slowly than the 137 atmosphere-only component, arises from the necessity of balancing the change in radiative 138 cooling as the temperature of the coupled atmosphere-surface system adjusts to the forcing, 139 and thus scales with δT . A set of simulations based on the same atmosphere GCM, but being forced with prescribed sea surface temperature and sea ice, suggest that precipitation lowers, incidentally, by the same percentage (1.2%) for aerosols and for greenhouse gases (i.e., the fast atmosphere-only component). By subtracting the fast component from the total δP , one can derive the slowly varying component, which amounts to a decrease of 144 4.5% for aerosols and an increase of 6.0% for greenhouse gases. The respective adjusted 145 hydrological sensitivity, which is defined on the basis of the slow δT -related δP , is 3.0 and 146 2.7% K⁻¹. Note that the adjusted hydrological sensitivity is reasonably consistent across forcings, which is fundamentally owing to the radiative control of precipitation (Allen and 148 Ingram 2002). 149

For aerosols, P, even with the atmosphere-only component included, still decreases with 150 T at a pace $(3.8\% \text{ K}^{-1})$ slower than the Clausius-Clapeyron scaling $(7\% \text{ K}^{-1})$. The simulated 151 M_c increases by 4.5%, which translates into a normalized rate of 3.0% K⁻¹, effectively follow-152 ing the thermodynamical scaling (Eq. 1). The increase in $var(M_c)$ (11.7%) is considerably 153 more than twice of the increase in M_c , an indication of spatially uneven circulation changes. 154 The conclusion that the tropical-mean circulation strengthens in response to aerosols is in 155 line with the expectation from the thermodynamical scaling argument. The applicability of 156 the scaling to aerosol-induced circulation changes is not surprising given the fact that it is 157

based on the mass balance of moisture, which always holds at climate-relevant time scales
due to the limited storage in the free troposphere.

3. Zonal-mean and Zonally asymmetrical Circulations

How is the aerosol-induced change in the overall circulation strength realized by modify-161 ing regional air flow? An examination of the differences in meridional stream function yields 162 that a closed clockwise circulation centers roughly at the equator and spans over the deep 163 tropics (15°S - 15°N) (Fig. 1). The associated flow acts to weaken the rising branch of the 164 NH zonal-mean circulation, while strengthening its SH counterpart. It is accompanied by 165 cross-equatorial northerlies in the lower troposphere. The returning flow is manifested as 166 southerlies in the upper troposphere. Fig. 2 shows that the largest reductions in large-scale ascent (ω) occur mainly over the regions with the strongest ascent in CONT, namely the Intertropical Convergence Zone (ITCZ), West Pacific Warm Pool (WPWP) and Atlantic 169 Warm Pool (AWP). The ascent over the SH convergence zones is enhanced substantially, 170 and the dipole pattern marks a pronounced shift of the South Pacific Convergence Zone 171 (SPCZ). However, it is not self-evident whether such a shift is meridional (equatorward), 172 zonal (eastward), or a combination of both. It is equally unclear whether there are changes 173 in the mean locations and/or areas of the other convergence zones including ITCZ. A later 174 analysis will help clarify these issues. The large-scale descent is weaker over the NH sub-175 tropical subsidence regions (mainly the eastern part of the North Pacific), and is stronger 176 over the SH counterparts (mainly the eastern part of the South Pacific). Since the hemi-177 spheric mean circulation is approximately closed, these changes in large-scale motion are in 178

the opposite sign to those over the convective regions in the same hemisphere.

We now turn to the change in M_c caused by aerosols. Fig. 3(a) shows that M_c generally 180 reduces over the convective regions in NH, but increases over those in SH. A comparison with 181 Fig. 2 indicates that the spatial patterns of δM_c and $\delta \omega$ are closely tied, with faster grid-mean 182 ascent collocating with stronger M_c , and vice versa. Fig. 3(b) plots the zonal-mean δM_c at 183 a specific latitude across the zonal band, and Fig. 3(c) plots the residual after subtracting 184 the zonal-mean from the total δM_c , which can be thought of as the zonally asymmetrical 185 part of δM_c . (We intentionally choose to present the zonal-mean δM_c in a latitude-longitude plot, as opposed to a line plot, to facilitate a comparison with the zonally asymmetrical δM_c location by location.) The zonal-mean M_c lowers consistently between 5 - 20°N with 188 largest reductions at around 10°N (over ITCZ and parts of WPWM and AWM). It increases 189 virtually over the entire SH tropics with a maximum at around 10°S (over SPCZ and other 190 SH convergence zones). Note that none of the convective belts undergoes a zonally wide 191 meridional shift. 192

The zonally asymmetrical component of M_c generally reduces over the relatively narrow regions with the strongest convection such as WPWP and SPCZ, but increases considerably at the edges (e.g., the south side of ITCZ and east side of SPCZ). We characterize this pattern as flattening of the zonally asymmetrical circulation, which involves a re-distribution of convective mass mostly within the convergence zones. The fundamental mechanism underlying the shift of convective activities is still unclear, and will be a subject of further study.

One can examine this issue further by decomposing the tropical-mean change in $var(M_c)$ into the zonal-mean (Hadley) and zonally asymmetric components in both hemispheres (Table 2). Following the thermodynamical scaling, all four components weaken in GAS, but the
zonally asymmetrical circulation experiences a much greater reduction in strength than the
zonal-mean circulation. This result is common among models, and can be thought of as a
consequence of the zonal-mean circulation being more restricted (HS06).

The zonal-mean circulation responds to aerosols differently between the hemispheres; it 206 weakens by 15.8% in NH, but strengthens by 30.4% in the Southern Hemisphere (SH). We 207 presume that this pattern, which is distinct from GAS, results from uneven aerosol distri-208 bution between two hemispheres, and will revisit it in Section 5. In marked contrast, the zonally asymmetrical circulation strengthens in both hemispheres to an extent comparable 210 to the tropical-mean. This seems to suggest that the way in which the zonally asymmet-211 rical circulation adjusts to a perturbation (either greenhouse gases or aerosols) is linked 212 tightly to the tropical-mean temperature change according to the thermodynamical scaling. 213 The adjustment is insensitive to the spatial structures of the perturbation and subsequent 214 temperature change. 215

²¹⁶ 4. Sea Level Pressure

From the thermodynamical scaling, one would expect a weaker zonally asymmetrical circulation as a result of global warming. Vecchi et al. (2006) found a weakening trend of the observed equatorial sea level pressure (SLP) gradient (the difference in SLP between the East Pacific cold tongue region (5°S-5°N, 160°-80°W) and the WPWP (5°S-5°N, 80°-160°E); dSLP), and attributed it to global warming-induced slowdown of the Walker circulation (the zonally asymmetrical circulation over the Pacific). In this section, we look into these aspects

of the simulations examined here. The changes in dSLP in response to different perturbations are given in Table 1. Despite an overall weaker circulation as measured in the spatial variance of M_c , the change in dSLP is negligible in GAS. In contrast, dSLP decreases by 0.41 hPa in AERO, while the tropics-wide zonally asymmetrical circulation strengthens. It appears that at least for this specific set of simulations, the equatorial SLP gradient does not correlate with the strength of the Walker circulation.

The spatial patterns of δ SLP are plotted in Figs. 4(a) - (c). (Note that the two rectangle 229 boxes denote the regions used for computing the equatorial SLP gradient.) SLP responds to aerosols generally by increasing in NH, and decreasing in SH (Fig. 4(a)), a pattern that is 231 broadly consistent with weaker large-scale ascent in NH and stronger ascent in SH (Fig. 2). 232 The resulting pressure gradient force drives the cross-equatorial northerlies in the lower 233 troposphere. A west-east pressure gradient exist along the equator between 5°S - 5°N pre-234 sumably due to the strong local aerosol-induced cooling over WPWP and neighboring lands 235 (see Fig. 2 of MR09), giving rise to the reduction in the equatorial SLP gradient. Similar 236 SLP changes are also present over the convective belts at 10°N and at 10°S. 237

Although dSLP shows little change in GAS, SLP increases by more than 1 hPa over the South Pacific (Fig. 4(b)). This is broadly consistent with the weaker ascent over SPCZ (Fig. 5). A detailed analysis similar to the one for AERO in Section 3 yields that the warming does not change the areas of the convective regions. The weakening of the zonally asymmetrical circulation is manifested partly as weaker descent over the subsidence regions. This is in qualitative agreement with the ensemble-mean response to increased CO₂ of the Intergovernmental Panel on Climate Change (IPCC) Fourth Assessment Reports (AR4) models (Vecchi and Soden 2007). However, the spatial patterns over the convective regions

differ. The weakening of ascent is rather uniform across all the major convergence zones 246 (i.e., WPWP, ITCZ and SPCZ) for the AR4 model ensemble-mean. In contrast, this model 247 projects little change or even slight increase in ascent over the centers of the same convergence 248 zones, and the weaker ascent takes place most notably over the east side of SPCZ, a pattern 249 roughly opposite to that in AERO. Note that the standard AM2.1 AGCM, when coupled to 250 a mixed-layer ocean model, behaves in the same way as the model used in this study. The 251 standard mixed-layer model and the fully coupled model (CM2.1) participated in AR4. It 252 would be interesting to see whether any other AR4 models deviate substantially from the ensemble-mean response. Such an effort would shed light on the robustness of the simulated regional patterns. If, as part of the overall weakening of the Walker circulation caused by 255 global warming, the reduction in ascent is more uniform throughout the convergence zones 256 than projected by this model, it is fully plausible that such a change would result in a 257 reduction in dSLP. 258

The simulated SLP pattern in BOTH (Fig. 4(c)) agrees well with the observed trend

(see Fig. 1(a) of Vecchi et al. 2006), and the spatial structure is almost entirely due to

aerosols. We acknowledge that no solid conclusion can be drawn from this comparison as the

model results represent the differences between two equilibrium climate states, as opposed to

transient response. Nonetheless, this leads us to believe that one needs to take into account

the possible role of anthropogenic aerosols when attributing the observed equatorial SLP

trend.

5. Atmospheric Moist Static Energy Transport

An energetic view of aerosol-induced circulation changes can be obtained by studying 267 how they affect atmospheric energy transport in the greater context of re-establishing the top-of-the-atmosphere (TOA) radiative balance. Fig. 6 shows that in response to aerosols, 269 the general circulation changes in a way such that the net flow gathers energy virtually at 270 all the SH latitudes, and the resulting cross-equatorial energy flux (0.42 PW) tends to heat 271 up the part of the NH tropics equatorward of 20°N. The latitudes between 20 - 45°N receive 272 an energy influx of 0.11 PW from poleward of 45°N. The energy convergence between the 273 equator and 45°N compensates for 53% of the TOA radiative cooling posed by aerosols in 274 NH (0.80 PW). In comparison, the tropics exports energy towards both poles in GAS. This 275 is at least partly due to the increase in atmospheric moisture content. 276

277 6. Hydrological Response

MR09 highlighted the nonlinearity in the hydrological response to aerosols and radiatively active gases. Here, we offer a quantitative explanation for the behavior. The runoff (P - E, where E is evaporation) is equal to moisture convergence. Since air flow is more variable with respect to latitude than moisture content, one can express P - E approximately as

$$P - E = e_s \nabla \cdot F, \tag{2}$$

where e_s is the air mass-weighted vertical-mean moisture content, and $\nabla \cdot F$ is the divergence of the vertically integrated meridional air mass flux. If one assumes that δT is the same throughout the entire tropics, and takes into account the Clausius-Clapeyron scaling of e_s , the change in runoff $(\delta(P-E))$ can be expressed as

$$\delta(P - E) = e_s \alpha \nabla \cdot F \delta T + e_s \delta(\nabla \cdot F). \tag{3}$$

The first term on the right-hand side of Eq. 3 represents a thermodynamical effect, and is proportional to δT . Since the simulated tropical-mean δT in AERO and GAS (-1.5 and 2.2 K, respectively) can approximately add up (within 10%) to that in BOTH (0.55 K) (Table 1), the thermodynamical parts of $\delta(P-E)$ of AERO and GAS are approximately linearly additive within the tropics. This leaves the second (dynamical) term as the only possible source of nonlinearity.

HS06 argued that the thermodynamical effect dominates the hydrological response to 292 CO₂. This is presumed to be true for GAS in this study. We can estimate from the linear dependence on δT the thermodynamical part of $\delta(P-E)$ in AERO as $\delta(P-E)$ in GAS 294 multiplied by -0.68 (the ratio of δT in AERO to that in GAS), and treat the residual as the dynamical part. The results are plotted in Fig. 7(a). It is clear that the thermodynamical effect governs the subtropical response (approximately north of 20°N and south of 20°S). The 297 subtropics become wetter in response to aerosols. The reason is that the local divergent flow 298 carries less moisture out of the subtropics due to lower moisture content in a colder climate. 299 This is qualitatively consistent with the subtropical drying due to global warming (HS06). On 300 the other hand, the dynamical effect, with its origin in aerosol-induced circulation changes, is 301 dominant between 20°S - 20°N. Note that these are the latitudes which exhibit the strongest 302 nonlinearity. 303

One can estimate the relative difference in $\delta(\nabla \cdot F)$ between AERO and BOTH from the changes in atmospheric energy transport. A linear addition of AERO and GAS would

(Fig. 6). The difference of 0.06 PW has to be made up by altering the circulation beyond 307 what is suggested by the linear sum. The atmospheric energy flux increases by 0.16 PW 308 in AERO from 20°S to the equator, most of which is presumed to be due to circulation 309 changes. By assuming that the atmospheric energy flux is proportional to air mass flux 310 (F), one estimates that $\delta(\nabla \cdot F)$ has to increase by 38% (i.e., 0.06 divided by 0.16) to 311 reach the simulated cross-equatorial flux in BOTH. This justifies using 38% of the estimated 312 dynamical part of $\delta(P-E)$ in AERO as an estimate of the difference in the same quantity between AERO and BOTH. When it is added to the linear sum of AERO and GAS, the 314 agreement with BOTH is considerably improved (Fig. 7(b)). When compared to AERO, 315 the more vigorous circulation in BOTH results in larger increase in P-E south of the 316 equator and larger reduction north. The nonlinearity in the cross-equatorial energy flux is 317 apparently consistent with that in P-E. The former can be thought of as representative 318 of the accumulated effect of circulation changes. So, although this analysis highlights the 319 consistent roles of nonlinear circulation changes in driving the atmospheric energy transport 320 and hydrological cycle, it does not address their origin. Ming et al. (2011b) offered an 321 explanation of the nonlinear dynamical response based on the baroclinic instability view of 322 the formation of the subtropical jets. 323 The dynamical part of AERO (Fig. 7(a)), and the sum of AERO and GAS, with or with-324 out the dynamical correction (Fig. 7(b)) show distinct local maxima at around 4°N. Although 325 these measures differ in value, all of them are, in nature, linear combinations of the response 326

reduce the cross-equatorial flux to 0.39 PW, as compared to 0.45 PW simulated in BOTH

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to aerosols and that to radiatively active gases. As discussed above, the model-simulated

response to both forcings, when imposed simultaneously, exhibits strong nonlinearity, imply-

ing that a linear sum of the individual responses cannot capture important characteristics
of the model-simulated climate response. In particular, no similar local maximum is present
in BOTH. This leads us to believe that those present in the linear sums are merely artefacts
created by arbitrarily adding up two responses, which are not entirely independent of each
other.

7. Concluding Remarks

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The thermodynamical scaling argument dictates that the tropical-mean circulation would 335 normally strengthen as a response to the aerosol cooling. This is borne out in the atmosphere/mixed-336 layer ocean GCM simulations studied here. Anthropogenic aerosols and associated radiative 337 cooling, which are located mostly in NH, create an interhemispheric TOA radiative imbalance. The atmosphere tries to moderate the asymmetry mainly by altering the zonal-mean circulation in the tropics. This leads to weaker (stronger) convection over the NH (SH) con-340 vective regions. The resulting cross-equatorial energy flux is able to compensate partly for the radiative deficit in NH. Unlike the zonal-mean circulation, the zonally asymmetrical cir-342 culation largely follows the thermodynamical scaling in both hemispheres. The hydrological 343 response to aerosols is thermodynamically controlled in the subtropics, and is dynamically 344 controlled in the deep tropics. A robust outcome is that the subtropical dry regions in both 345 hemispheres become wetter due to the aerosol cooling. The nonlinearity in the hydrologi-346 cal response to aerosols and radiatively active gases appears to be rooted in the nonlinear 347 circulation changes. 348

It is important to note that the above conclusions are drawn mostly from the simula-

tions performed with one single model. Although we believe that the underlying causes and physical mechanisms of the simulated tropical circulation changes are sound, and thus their 351 qualitative characteristics are robust, major knowledge gaps still persist. Model-simulated 352 atmospheric concentrations and radiative effects of aerosols are poorly constrained by ob-353 servations. An unrealistically strong contrast in aerosol loadings between the hemispheres 354 implies that the adjustment in the zonal-mean circulation would be less significant than suggested by the simulations, and vice versa. Absorbing aerosols affect precipitation and 356 general circulation in a different way from purely scattering aerosols. The increased atmospheric absorption tends to suppress global-mean precipitation, with a consequence that the resulting circulation change does not scale with that in surface temperature (Ming et al. 359 2010). By altering a key parameter in the convection parameterization in a model similar to the one used here, Kang et al. (2008) showed that the atmospheric adjustment to an idealized 361 interhemispherically asymmetrical forcing varies with cloud feedback, which could be highly variable across models. Another source of uncertainty is how the oceanic circulation may 363 be altered by aerosol forcing. An analysis of fully coupled GCM experiments indicate that 364 although the change in the total energy transport (i.e., a net cross-equatorial flux) is similar 365 to that in the mixed-layer ocean model, approximately half of it is realized by varying the 366 oceanic circulation, thus considerably damping the atmospheric response. 367

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TABLE 1. Absolute differences in the tropical-mean surface temperature (δT ; K), and relative differences in the tropical-mean precipitation ($\delta P/P$; %), convective mass flux ($\delta M_c/M_c$; %), variances of M_c ($\delta var(M_c)/var(M_c)$; %), and absolute differences in the equatorial sea level pressure gradient ($\delta (dSLP)$; hPa) (perturbation cases minus CONT).

	δT	$\delta P/P$	$\delta M_c/M_c$	$\delta var(M_c)/var(M_c)$	$\delta(d{ m SLP})$
AERO	-1.5	-5.7	4.5	11.7	-0.41
GAS	2.2	4.8	-10.7	-19.3	0.05
BOTH	0.55	-1.4	-5.7	-7.6	-1.02

Table 2. Relative differences in the NH and SH zonal-mean and zonally asymmetrical components of $var(M_c)$ (%) (perturbation cases minus CONT).

	NH mean	NH asym.	SH mean	SH asym.
AERO	-15.8	9.3	30.4	16.0
GAS	-5.6	-17.8	-7.2	-29.5
BOTH	-23.6	-22.3	37.6	-8.6

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Fig. 1. Differences in meridional stream function (AERO minus CONT; colored shading) superposed on the reference (CONT; contour lines) (10^9 kg s^{-1}). Clockwise circulation is positive.

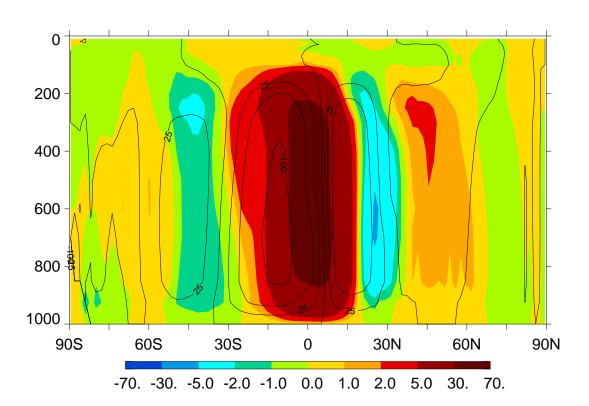


Fig. 2. Differences in grid-mean pressure velocity (ω) at 500 hPa (AERO minus CONT; colored shading with statistical significance at the 95% confidence level) superposed on the reference (CONT; contour lines) (Pa day⁻¹). Ascent is negative.

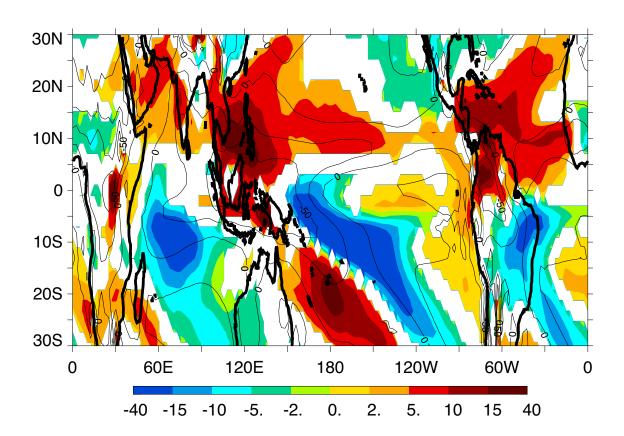


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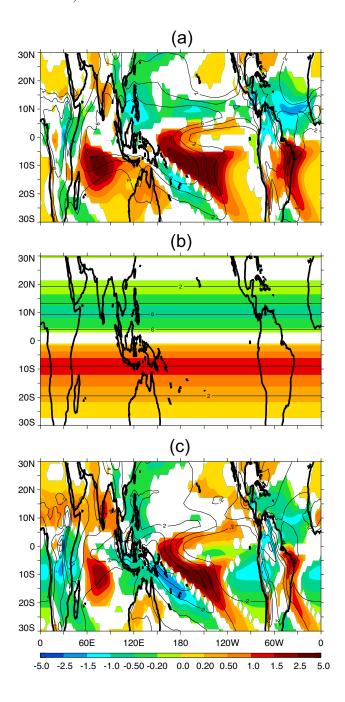


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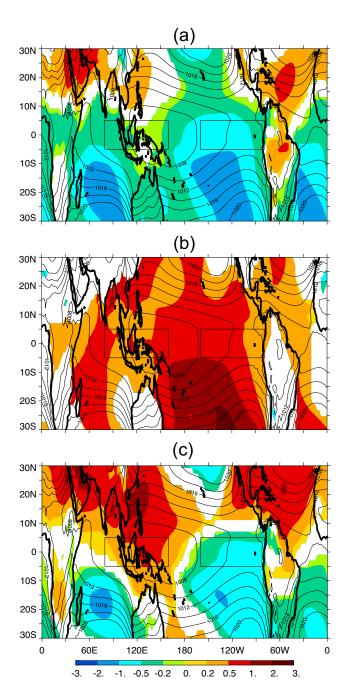


Fig. 5. Same as Fig. 2, but for GAS minus CONT.

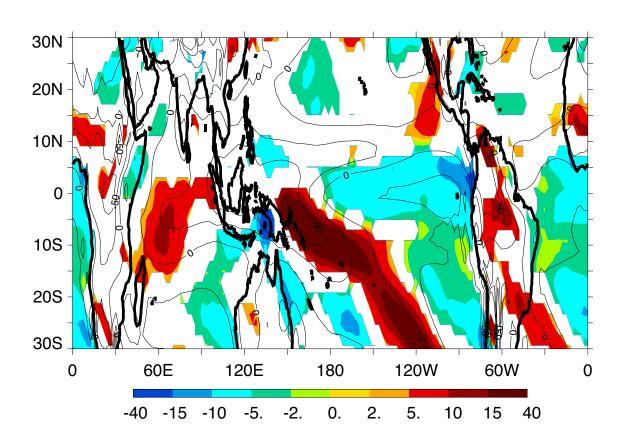


Fig. 6. Differences in zonal-mean atmospheric moist static energy transport (PW). Northward flux is positive.

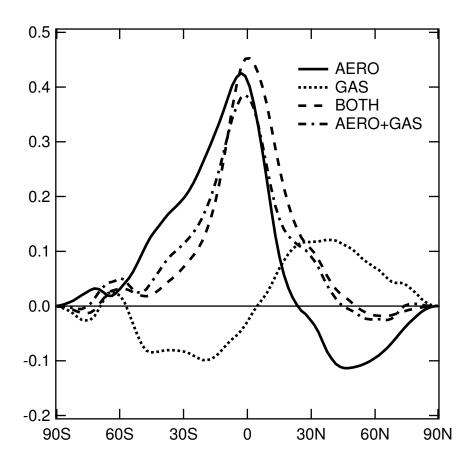


Fig. 7. Differences in zonal-mean P - E (mm day⁻¹).

